

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(MBHB Case No. 02-728-B)

Application of:

James McSwiggen et al.

Serial No.: 10/607,933

Filed: June 27, 2003

For: RNA Interference Mediated  
Treatment of Alzheimer's Disease  
Using Short Interfering Nucleic  
Acid (siNA)

Group Art Unit: TBA

Examiner: TBA

**COMPLIMENTARY COPY**

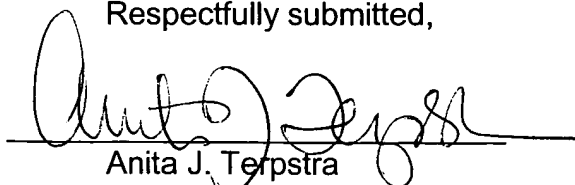
**TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In regard to the above-identified patent application:

1. We are transmitting herewith the attached:
  - a) Transmittal Letter in Duplicate;
  - b) Information Disclosure Statement Under 37 C.F.R. §1.97(b);
  - c) PTO Form 1449; and
  - d) 235 Cited References.
2. GENERAL AUTHORIZATION: Please charge any additional fees or credit overpayments to the Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
3. CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and papers, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service, with sufficient postage as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 5, 2003.

Respectfully submitted,

  
Anita J. Terpstra  
Reg. No. 47,132

Dated: November 5, 2003



Hon. Commissioner of  
Patents and Trademarks

S/N 10/607,933

Atty: AJT

Re: Applicant - McSwiggen et al.

Case No. 02-728-B

RNA Interference Mediated Treatment <sup>of</sup> Alzheimer's Disease  
Using Short Interfering Nucleic Acid (siNA)

Sir:

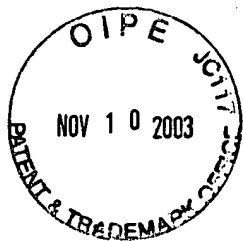
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Mailed: November 5, 2003

Respectfully,  
McDonnell Boehnen Hulbert & Berghoff  
Attorney for Applicant



**PATENT**

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Acid (siNA)	)	

**INFORMATION DISCLOSURE STATEMENT**

**Honorable Commissioner of Patents and Trademarks**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97 - 1.99, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. Copies of the cited references are enclosed. These references are also listed on the enclosed PTO Form 1449.

In the judgment of the undersigned, portions of the listed references may be material to the Examiner's consideration of the presently pending claims. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103.

Applicants do not believe any fee is due with this submission. If this belief is in error and the Patent Office determines that the fee prescribed in the relevant portion of 37 C.F.R. Section

1.97 is applicable, the undersigned attorney by his signature hereby authorizes any such fee to be debited from Deposit Account 13-2490.

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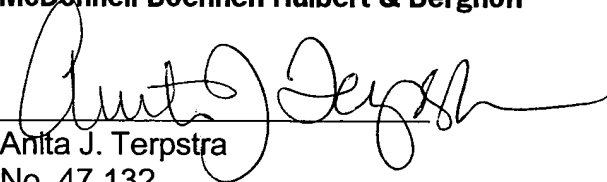
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Respectfully submitted,

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Date: November 5, 2003

By:



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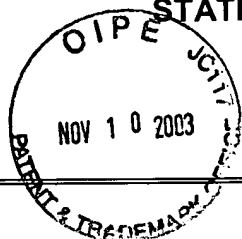
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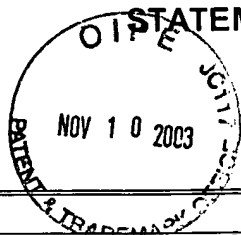
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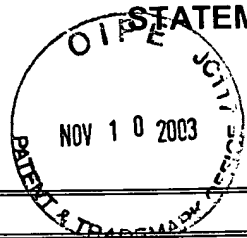
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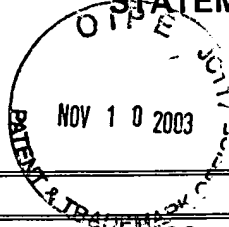
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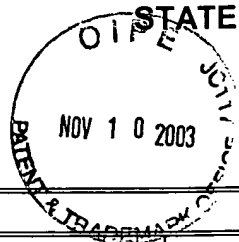
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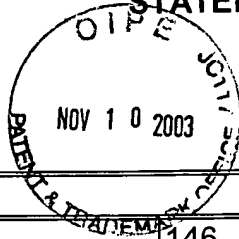
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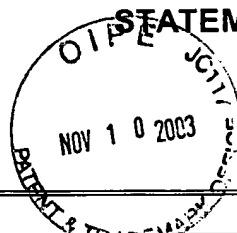
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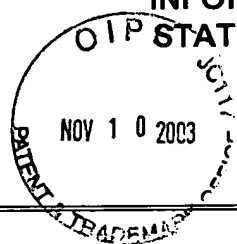
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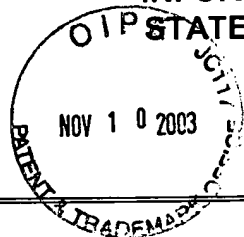
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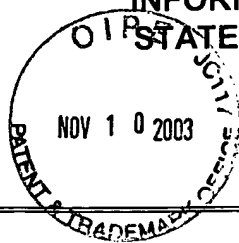
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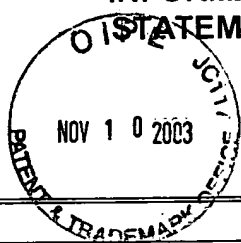
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